

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John A. Griego et al.

Serial No.: 10/717,775

Examiner: Unknown

Filed: November 20, 2003

Group Art Unit: Unknown

For: SELF-ORIENTING POLYPECTOMY SNARE DEVICE

Docket: 1001.1719101

TRANSMITTAL SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 17th day of February 2004.

By

David M. Crompton

We are transmitting herewith the attached:

[]

Amendment

[] No additional claim fee required

[] The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+ 145 =	\$	+ 290 =	\$
TOTAL				\$		\$	

[] A check in the amount of \$_____ is enclosed. Itemization:

Fee Code _____ \$

Fee Code _____ \$

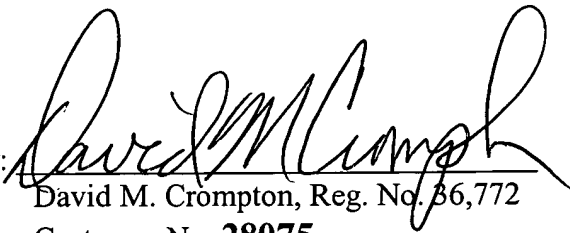
Fee Code _____ \$

[] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[XX] Other: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND 8 CITED NON-US PATENT/.PUBLICATION REFERENCES.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 
David M. Crompton, Reg. No. 36,772
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John A. Griego et al.

Serial No.: 10/717,775

Examiner: Unknown

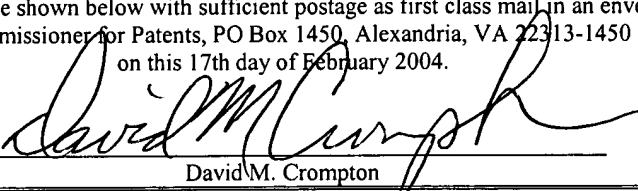
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INFORMATION DISCLOSURE STATEMENT

<p><u>CERTIFICATE UNDER 37 C.F.R. § 1.8:</u> I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this 17th day of February 2004.</p> <p>By  David M. Crompton</p>
--

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

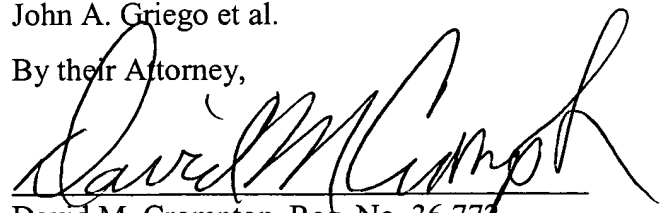
In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

John A. Griego et al.

By their Attorney,



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Date: 2/17/04



FORM PTO-1449		Atty. Docket No.: 1001.1719101	Serial No.: 10/717,775
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: John A. Griego et al.	
		Filing Date: November 20, 2003	Group Art: Unknown

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	Des. 301,614	06/13/1999	Kozak et al.	
AB	RE 34,110	10/27/1992	Opie, deceased, et al.	
AC	1,127,948	02/09/1915	Wappler	
AD	2,036,528	04/07/1936	Kesling	
AE	2,950,609	08/30/1960	Goodloe	
AF	3,554,192	01/12/1971	Isberner	
AG	3,895,636	07/22/1975	Schmidt	
AH	3,955,578	05/11/1976	Chamness et al.	
AI	4,256,113	03/17/1981	Chamness	
AJ	4,294,254	10/13/1981	Chamness	
AK	4,326,530	04/27/1982	Fleury, Jr.	
AL	4,327,711	05/04/1982	Tagaki	
AM	4,345,599	08/24/1982	McCarrell	
AN	4,430,083	02/07/1984	Ganz et al.	
AO	4,493,320	01/15/1985	Treat	
AP	4,503,855	03/12/1985	Maslanka	
AQ	4,590,938	05/27/1986	Segura et al.	
AR	4,593,680	06/10/1986	Kubokawa	
AS	4,619,260	10/28/1986	Magill et al.	
AT	4,632,110	12/30/1986	Sanagi	
AU	4,706,656	11/17/1987	Kuboto	
AV	4,763,668	08/16/1988	Macek et al.	
AW	4,785,825	11/22/1988	Romaniuk et al.	
AX	4,790,831	12/13/1988	Skribiski	

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AY	4,840,176	06/20/1989	Ohno	
AZ	4,840,623	06/20/1989	Quackenbush	
BA	4,869,238	09/26/1989	Opie et al.	
BB	4,872,456	10/10/1989	Hasson	
BC	4,905,691	03/06/1990	Rydell	
BD	4,945,920	08/07/1990	Clossick	
BE	4,950,232	08/21/1990	Ruzicka et al.	
BF	4,967,732	11/06/1990	Inoue	
BG	4,973,321	11/27/1990	Michelson	
BH	5,005,755	04/09/1991	Takahashi et al.	
BI	5,026,371	06/25/1991	Rydell et al.	
BJ	5,059,199	10/22/1991	Okada et al.	
BK	5,066,295	11/19/1991	Kozak et al.	
BL	5,084,054	01/28/1992	Bencini et al.	
BM	5,114,403	05/19/1992	Clark et al.	
BN	5,125,909	06/30/1992	Heimberger	
BO	5,129,913	07/14/1992	Ruppert	
BP	5,147,316	09/15/1992	Castillenti	
BQ	5,147,373	09/15/1992	Ferzli	
BR	5,156,590	10/20/1992	Vilmar	
BS	5,158,561	10/27/1992	Rydell et al.	
BT	5,163,942	11/17/1992	Rydell	
BU	5,176,677	01/05/1993	Wuchinich	
BV	5,176,702	01/05/1993	Bales et al.	
BW	5,179,935	01/19/1993	Miyagi	
BX	5,183,470	02/02/1993	Wetterman	
BY	5,201,740	04/13/1993	Nakao et al.	
BZ	5,201,743	04/13/1993	Haber et al.	
CA	5,244,619	09/14/1993	Burnham	
CB	5,254,117	10/19/1993	Rigby et al.	

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
CC	5,254,130	10/19/1993	Poncet et al.	
CD	5,279,280	01/18/1994	Bacich et al.	
CE	5,281,220	01/25/1994	Blake, III	
CF	5,281,230	01/25/1994	Heidmueller	
CG	5,290,294	03/01/1994	Cox et al.	
CH	5,318,564	06/07/1994	Eggers	
CI	5,334,169	08/02/1994	Brown et al.	
CJ	5,336,227	08/09/1994	Nakao et al.	
CK	5,358,493	10/25/1994	Schweich, Jr.	
CL	5,376,094	12/27/1994	Kline	
CM	5,403,342	04/04/1995	Tovey et al.	
CN	5,404,887	04/11/1995	Prather	
CO	5,406,939	04/18/1995	Bala	
CP	5,439,478	08/08/1995	Palmer	
CQ	5,465,710	11/14/1995	Miyagi	
CR	5,486,182	01/23/1996	Nakao et al.	
CS	5,496,292	03/05/1996	Burnham	
CT	5,501,692	03/26/1996	Riza	
CU	5,542,948	08/06/1996	Weaver et al.	
CV	5,584,843	12/17/1996	Wulfman et al.	
CW	5,588,964	12/31/1996	Imran et al.	
CX	5,591,202	01/07/1997	Slater et al.	
CY	5,601,533	02/11/1997	Hancke et al.	
CZ	5,647,846	07/15/1997	Berg et al.	
DA	5,681,296	10/28/1997	Ishida	
DB	5,718,360	02/17/1998	Green et al.	
DC	5,741,271	04/21/1998	Nakao et al.	
DD	5,746,747	05/05/1998	McKeating	
DE	5,755,724	05/26/1998	Yoon	
DF	5,759,187	06/02/1998	Nakao et al.	

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
DG	5,762,631	06/09/1998	Klein	
DH	5,766,217	06/16/1998	Christy	
DI	5,769,841	06/23/1998	Odell et al.	
DJ	5,792,116	08/11/1998	Berg et al.	
DK	5,800,444	09/01/1998	Ridinger et al.	
DL	5,814,052	09/29/1998	Nakao et al.	
DM	5,817,111	10/06/1998	Riza	
DN	5,820,464	10/13/1998	Parlato	
DO	5,827,177	10/27/1998	Omeda et al.	
DP	5,827,272	10/27/1998	Breining et al.	
DQ	5,846,248	12/08/1998	Chu et al.	
DR	5,860,975	01/19/1999	Goble et al.	
DS	5,882,347	03/16/1999	Mouris-Laan	
DT	5,885,508	03/23/1999	Ishida	
DU	5,906,620	05/25/1999	Nakao et al.	
DV	5,906,621	05/25/1999	Secrest et al.	
DW	5,957,932	09/28/1999	Bates et al.	
DX	5,961,511	10/05/1999	Mortier et al.	
DY	5,971,994	10/26/1999	Fritzs	
DZ	5,984,904	11/16/1999	Steen et al.	
EA	5,984,920	11/16/1999	Steinbach	
EB	5,989,247	11/23/1999	Chambers	
EC	5,993,474	11/30/1999	Ouchi	
ED	6,001,096	12/14/1999	Bissinger et al.	
EE	6,010,512	01/04/2000	Chu et al.	
EF	6,015,381	01/18/2000	Ouchi	
EG	6,015,415	01/18/2000	Avellanet	
EH	6,024,708	02/15/2020	Bales et al.	
EI	6,027,460	02/22/2000	Shturman	
EJ	6,050,995	04/18/2000	Durgin	

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
EK	6,068,603	05/30/2000	Suzuki	
EL	6,071,233	06/06/2000	Ishikawa et al.	
EM	6,074,408	06/13/2000	Freeman	
EN	6,090,073	07/18/2000	Gill	
EO	6,090,129	07/18/2000	Ouchi	
EP	6,093,195	07/25/2000	Ouchi	
EQ	6,235,026	05/22/2001	Smith	
ER	6,299,612	10/09/2001	Ouchi	
ES	6,517,539	02/11/2003	Smith et al.	
ET	6,537,205	03/25/2003	Smith	

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	Document No.	Date	Country	Translation Yes No
EU	DE 28 29 159 A1	01/17/1980	Germany	
EV	DE 36 16 193 A1	11/20/1986	Germany	See U.S. Patent 4,706,656
EW	DE 199 53 359 A1	05/11/2000	Germany	
EX	WO 92/22254 A1	12/23/1992	WIPO	
EY	WO 00/42926 A1	07/27/2000	WIPO	
EZ	WO 00/53107 A1	09/14/2000	WIPO	
FA	WO 01/10321 A1	02/15/2001	WIPO	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

FB	Product Brochure, "Trio 14, Re-engineering Over-the-Wire Balloon Technology," 1994, 4 pages.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.